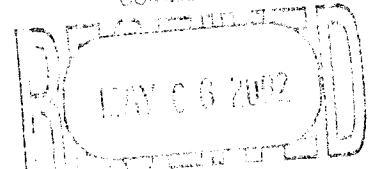


ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION



ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

G

W-02491A
GRAND CANYON CAVERNS
P. O. BOX 180
PEACH SPRINGS AZ 86434-0180

ANNUAL REPORT

FOR YEAR ENDING

12	31	2001
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FOR COMMISSION USE

ANN04	01
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Processed by:

5-7-02 cm

SCANNED

COMPANY INFORMATION

Company Name (Business Name) DINOSAUR CAVERNS INC dba GRAND CANYON CAVERNS

Mailing Address 1123 AURORA PKWY #200
(Street)
DENVER CO 80204
(City) (State) (Zip)

303 892 0115 303 892 0119
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address _____

Local Office Mailing Address PO Box 180
(Street)
PEACH SPRINGS AZ 86434
(City) (State) (Zip)

520 422 3225 520 420 4470
Local Office Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address _____

MANAGEMENT INFORMATION

Management Contact: DON RINGSBY PRESIDENT
(Name) (Title)

1123 AURORA PKWY #200 DENVER CO 80204
(Street) (City) (State) (Zip)
303 892 0115 303 892 0119
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address _____

UNTIL 4/19/02

On Site Manager: DACE PAPHAM
(Name)

PO Box 180 PEACH SPRINGS AZ 86434
(Street) (City) (State) (Zip)
520 422 3225 520 420 4470
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address _____

Statutory Agent: ON SITE MGR
(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

Attorney: _____
(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

OWNERSHIP INFORMATION

Check the following box that applies to your company:

☐ Sole Proprietor (S)

☒ C Corporation (C) (Other than Association/Co-op)

☐ Partnership (P)

☐ Subchapter S Corporation (Z)

☐ Bankruptcy (B)

☐ Association/Co op (A)

☐ Receivership (R)

☐ Limited Liability Company

☐ Other (Describe) _____

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ APACHE

☐ COCHISE

☒ COCONINO

☐ GILA

☐ GRAHAM

☐ GREENLEE

☐ LA PAZ

☐ MARICOPA

☐ MOHAVE

☐ NAVAJO

☐ PIMA

☐ PINAL

☐ SANTA CRUZ

☐ YAVAPAI

☐ YUMA

☐ STATEWIDE

COMPANY NAME

DINOSAUR CAVERNS INC

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization			
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements			
307	Wells and Springs			
311	Pumping Equipment	16,469	9,510	6,959
320	Water Treatment Equipment	2,211	2,211	0
330	Distribution Reservoirs and Standpipes	14,335	14,335	0
331	Transmission and Distribution Mains	391,136	83,123	308,013
333	Services			
334	Meters and Meter Installations			
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	424,151	109,179	314,972

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME

DINOSAUR CAVENS INC

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization			
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements			
307	Wells and Springs			
311	Pumping Equipment	16,469	18.17%	2,993
320	Water Treatment Equipment	2,211	6.24%	138
330	Distribution Reservoirs and Standpipes			
331	Transmission and Distribution Mains	331,669	5.3%	17,594
333	Services			
334	Meters and Meter Installations			
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	350,349		20,725

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME

DINOSAUR CAVEWS, INC.

BALANCE SHEET

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$	\$
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 0	\$ 0
	FIXED ASSETS		
101	Utility Plant in Service	\$ 153,600	\$ 424,151
103	Property Held for Future Use		
105	Construction Work in Progress	216,887	0
108	Accumulated Depreciation – Utility Plant	86,834	109,179
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$ 283,653	\$ 314,972
	TOTAL ASSETS	\$ 283,653	\$ 314,972

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME DINOSAUR CAVERNS, INC.

BALANCE SHEET (CONTINUED)

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITIES		
231	Accounts Payable	\$ 727,676	\$ 790,435
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$ 727,676	\$ 790,435
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$	\$
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$	\$
	TOTAL LIABILITIES	\$ 727,676	\$ 790,435
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$	\$
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings	< 444,023 >	< 475,463 >
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ < 444,023 >	\$ < 475,463 >
	TOTAL LIABILITIES AND CAPITAL	\$ 283,653	\$ 314,972

COMPANY NAME

DINOSAUR CAVERNS INC

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 2065	\$ 1,922
460	Unmetered Water Revenue	3,912	14,588
474	Other Water Revenues	55,820	24,423
	TOTAL REVENUES	\$ 61,797	\$ 40,933
	OPERATING EXPENSES		
601	Salaries and Wages	\$ 31,235	\$ 14,577 .
610	Purchased Water	23,313	9,680 .
615	Purchased Power	17,878	9,658 .
618	Chemicals		
620	Repairs and Maintenance	13,981	4,892 .
621	Office Supplies and Expense	558	254 .
630	Outside Services	6,715	5,307 .
635	Water Testing	256	363
641	Rents		
650	Transportation Expenses	10,880	0
657	Insurance – General Liability	2,220	1,384 .
659	Insurance - Health and Life		
666	Regulatory Commission Expense – Rate Case		
675	Miscellaneous Expense	15,181	4,927 .
403	Depreciation Expense	7,185	20,725
408	Taxes Other Than Income		
408.11	Property Taxes	517	606
409	Income Tax		
	TOTAL OPERATING EXPENSES	\$ 129,919	\$ 72,373
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/EXP	\$	\$
	NET INCOME/(LOSS)	\$ (68,122)	\$ (31,440)

COMPANY NAME DINOSAUR CAVERNS INC.

SUPPLEMENTAL FINANCIAL DATA
Long-Term Debt

N/A

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate				
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End \$ _____

Meter Deposits Refunded During the Test Year \$ _____

COMPANY NAME

DINOSAUR CAVEWS, INC

WATER COMPANY PLANT DESCRIPTIONWELLS

ADWR ID Number	Pump Horsepower	Pump Yield (Gpm)	Casing Size (inches)	Meter Size (inches)
SS-627-217	25	78	8	2

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)
N/A		

TOTAL GALLONS PUMPED (NOT SOLD) THIS YEAR (thous.) = _____

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
5	2		4

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
80000	1	50	1
203,000	1	25	1

STATISTICAL INFORMATION

Total number of customers 8

Total number of gallons sold 639 THOUSAND gallons

COMPANY NAME DINOSAUR CAVEWS, INC.

YEAR ENDING 12/31/2001

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported

Estimated or Actual Federal Tax Liability

TAX RETURN WILL BE FILED 7/02
0 - LARGE NOL CARRYOVERS

State Taxable Income Reported

Estimated or Actual State Tax Liability

TAX RETURN WILL BE FILED 7/02
50 - minimum

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances

Amount of Gross-Up Tax Collected

Total Grossed-Up Contributions/Advances

0
0
0

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.


SIGNATURE5/1/02
DATEDW RINGSBY
PRINTED NAMEPRESIDENT
TITLE

COMPANY NAME DINOSAUR CAVEWS, INC. YEAR ENDING 12/31/2001

**WATER AND SEWER
UTILITIES ONLY**

PROPERTY TAXES

Indicate the amount of actual property taxes paid during this reporting period (Calendar Year 2001)

\$ 606

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled Checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain reasons below:

**VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only**

VERIFICATION

STATE OF COLORADO

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) <u>DENVER</u>
NAME (OWNER OR OFFICIAL) TITLE <u>DWRINGSBY PRESIDENT</u>
COMPANY NAME <u>DINOSAUR CAVERNS INC dba GRAND CANYON CAVERNS</u>

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA COPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

\$ 40,933

(THE AMOUNT IN BOX ABOVE
INCLUDES \$ _____
IN SALES TAXES BILLED, OR COLLECTED

****REVENUE REPORTED ON THIS PAGE MUST
INCLUDE SALES TAXES BILLED OR
COLLECTED. IF FOR ANY OTHER REASON,
THE REVENUE REPORTED ABOVE DOES NOT
AGREE WITH TOTAL OPERATING REVENUES
ELSEWHERE REPORTED, ATTACH THOSE
STATEMENTS THAT RECONCILE THE
DIFFERENCE. (EXPLAIN IN DETAIL)**

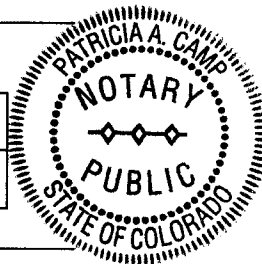
SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 1ST DAY OF

DWRingsby
SIGNATURE OF OWNER OR OFFICIAL
303 892 0115
TELEPHONE NUMBER

COUNTY NAME <u>DENVER</u>	
MONTH <u>MAY</u>	<u>2002</u>

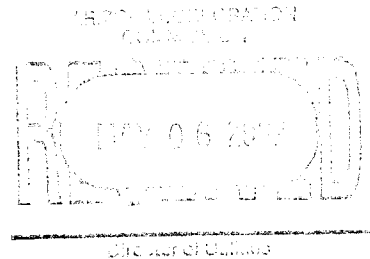


(SEAL)

MY COMMISSION EXPIRES 10-27-03

SIGNATURE OF NOTARY PUBLIC
Patricia A. Camp

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**



VERIFICATION

STATE OF ^{COLORADO} ~~ARIZONA~~

I, THE UNDERSIGNED

OF THE

(COUNTY NAME) <u>DENVER</u>	
NAME (OWNER OR OFFICIAL) <u>DW RINGBY</u>	TITLE <u>PRESIDENT</u>
COMPANY NAME <u>DINOSAUR CAVERNS, INC. dba GRAND CANYON CAVERNS</u>	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ <u>40933</u>

(THE AMOUNT IN BOX AT LEFT
INCLUDES \$ _____
IN SALES TAXES BILLED, OR COLLECTED

*RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.

X
SIGNATURE OF OWNER OR OFFICIAL

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

1 ST

DAY OF

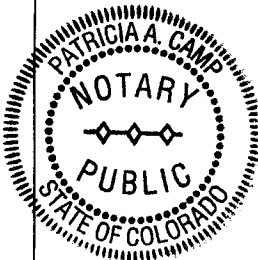
NOTARY PUBLIC NAME <u>PATRICIA A CAMP</u>	
COUNTY NAME <u>DENVER</u>	
MONTH <u>MAY</u>	20 <u>02</u>

(SEAL)

MY COMMISSION EXPIRES

10-27-03

X
SIGNATURE OF NOTARY PUBLIC



Account:

INVOICE

DATE

Amount Paid

10.1.01

10/1/01

8,049.56

700-43-006	4734.05
700-43-007A	250.79
700-43-007B	163.18
700-43-008	220.27
700-43-009	1503.21
700-43-010	605.26
700-44-008	133.10
956-70-200	439.70

Check Amount: \$8,049.56

06436

DINOSAUR CAVERNS, INC.

1123 Auraria Pkwy Ste 200
Denver, CO 80204

US BANK
DOWNTOWN - CHAMPA
DENVER, CO
23-21020

DATE
10/25/01AMOUNT
*****\$8,049.56*

Eight Thousand Forty-Nine and 56/100 Dollars

PAY TO THE ORDER OF COCONINO COUNTY TREASURER
100 E BIRCH
FLAGSTAFF, AZ 86001

VOID AFTER 60 DAYS



⑈006436⑈ ⑆102000021⑆ 120412695856⑈

THIS DOCUMENT CONTAINS A COLORED BACKGROUND ON WHITE PAPER. MICROPRINT IS LOCATED BELOW THIS WARNING BAND.

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE
SECURED FOR FINANCIAL INSTITUTION USE

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WELLS FARGO WEST 11/20/01

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10 51 11

Phone: 303-892-0116
Fax: 303-892-0119

Dinosaur Cavems, Inc.
1123 Auraria Pkwy. #200
Denver, CO 80204

Fax

To: Carmen Madrid

From: Pat Camp

Fax: 602-542-2129

Date: May 7, 2002

Phone:

Pages:

Re:

CC:

☐ **Urgent**

☐ **For Review**

☐ **Please Comment**

☐ **Please Reply**

☐ **Please Recycle**

•Comments:

Copies of cancelled checks supporting the \$605.63 tax payment. 4/25/01 paid \$165.93, 10/25/01 paid \$439.70.